

Form PTO 1449 Rev. 7-80 U.S. Department of Commerce Patent and Trademark Office LIST OF PRIOR ART CITED BY APPLICANT (Use Several Sheets If Necessary)	Atty. Docket No. CH-7929/LeA 36,206	Serial No. To Be Assigned
	Applicant Frank Berendes et al	
	Filing Date Herewith	Group

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	5,104,899	04/14/92	Young et al	514	646	
	AB						
	AC						
	AD						
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FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							Yes	No*
	AL	447 938	10/18/95	Europe				
	AM							
	AN							
	AO							
	AP							

OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

	AR	Sybesma et al., Biocatalysis and Biotransformation (month unavailable) 1998. V ol. 16, "Reductions of 3-Oxo Esters by Baker's Yeast: Current Status", pgs. 95-134	✓
	AS	Dahl et al., Tetrahedron: Asymmetry 10, (month unavailable) (1999), "Baker's yeast: improving the D-stereoselectivity in reduction of 3-oxo-esters", pgs. 551-559	✓
	AT	Dehli et al., Tetrahedron Asymmetry 11 (month unavailable) (2000) "Enantio- and chemoselective bioreduction of β -keto nitriles by the fungus Curvularia lunata", pgs. 3693-3700	✓

EXAMINER	DATE CONSIDERED
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EXAMINER Initial if referenced considered, whether or not citation is in conformance with MPEP 609: Draw line through if not in conformance and not considered. Include copy of this form with next communication to applicant.

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	AR	Hayakawa et al., Tetrahedron Letters 39, (month unavailable) (1998) "Control of Enantioselectivity in the Bakers' Yeast Reduction of β -Keto Ester Derivatives in the Presence of a Sulfur Compound", pgs. 67-70
	AS	Cabon et al., Tetrahedron: Asymmetry Vol. 6, No. 9, (month unavailable) 1995, "The Microbial Reduction of 2-Chloro-3-oxoesters" pgs. 2199-2210
	AT	Smallridge et al., Tetrahedron Letters Vol. 39, (month unavailable) (1998), "Enzymatic Alkylation of α -Cyanoketones by Bakers Yeast", pgs. 5121-5124
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	AR	Chênevert et al., Tetrahedron Vol. 48, No. 33 (month unavailable) 1992, "Asymmetric Synthesis of Both Enantiomers of Fluoxetine via Microbiological Reduction of Ethyl Benzoylacetate", pgs. 6769-6776	✓
	AS	Kumar et al., Tetrahedron Letters, Vol. 32, No. 16, (month unavailable) 1991, "A New Chemo-enzymatic Enantioselective Synthesis of R-(-)-Tomoxetine, (R)- and (S)-Fluoxetine", pgs. 1901-1904	✓
	AT	Kalinin et al., Tetrahedron Letters 39, (month unavailable) (1998), "Directed <i>ortho</i> Metalation - Cross Coupling Links. Carbamoyl Rendition of the Baker-Venkataraman Rearrangement. Regio-specific Route to Substituted 4-Hydroxycoumarins", pgs. 4995-4998	✓

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	AR	D.Döpp u. H. Döpp: Carbonsäure-amide (Monoacyl-amine), Houben Weyl "Methoden der Organischen Chemie [Methods of organic chemistry]", 4 th Edition, Vol. E5, pgs. 940-1010	✓
	AS	Houben Weyl "Methoden der Organischen Chemie", 4 th edition, Volume E 16 d, pgs. 987-1003	
	AT		
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